

Serial No.: (0/070,339) In the Matter of The Application Date Mailed: 7, 21, 05	H&O File No.: AUARS - DEC By: TBH  n of: Wayne A. Feckelel. Due Date: 10(6(05)
The following has been received in the	U.S. Patent and Trademark Office on the date stamped hereon:
Design Patent Application Pages Utility Patent Application Pages Prov. Patent Application Pages Declaration/Oath Power of Attorney Assignment Form PTO-1594/1595 Small Entity Statement Drawings: Sheets(s) Formal Informal Informal Information Disclosure Statement Form PTO-1449 and Copies of References Contained Thereon Information Disclosure Certification Amendment/Response Extension of Time Request: Months(s)	Form PTO-1533 (Not. of Missing Parts)   [2] Transmittal Letter     Duplicate
☐ Petition	0



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In	re	App	licati	ion	of:	

Wayne A. Feick et al.

Serial No.: 10/070,339

Filed: February 27, 2002

For:

METHOD AND APPARATUS FOR

COMMUNICATING DATA WITHIN MEASUREMENT

TRAFFIC

Group Art Unit:

Examiner:

TRANSMITTAL LETTER

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No.: 28960

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 7-21-05

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Group Art Unit:
Wayne A. Feick et al.	Examiner:
Serial No.: 10/070,339	CERTIFICATION FOR SUPPLEMENTAL INFORMATION
Filed: February 27, 2002	DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(e)
For: METHOD AND APPARATUS FOR COMMUNICATING DATA WITHIN MEASUREMENT TRAFFIC	) 162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700
	ı

MS: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

#### Certification

I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement was cited within an Official Action mailed on July 6, 2005. Accordingly, I hereby certify that the reference contained within this supplemental information disclosure statement was not cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, the reference contained within this supplemental information disclosure statement was not known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

# **Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 7-21-05

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP.

Pate: 7-21-05 p



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Group Art Unit:
Wayne A. Feick et al.	Examiner:
Serial No.: 10/070,339	SUPPLEMENTAL INFORMATION
Filed: February 27, 2002	DISCLOSURE STATEMENT  162 N. Wolfe Road
For: METHOD AND APPARATUS FOR () COMMUNICATING DATA WITHIN MEASUREMENT TRAFFIC	Sunnyvale, CA 94086 (408) 530-9700

MS: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

• U.S. Patent No. 6,829,221 B1.

<u>PATENT</u>

Attorney Docket No.: AVARS-02600

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 7 - 2/-05

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP.

7-01-05 - 7

In the Matter of The Application Date Mailed: しんしょう	H&O File No.: AVARS UDGOO BY: TEHUL pof: Doyne A. Feick et al Due Date: U.S. Patent and Trademark Office on the date stamped hereon:
□ Design Patent Application Pages         □ Utility Patent Application Pages         □ Prov. Patent Application Pages         □ Declaration/Oath         □ Power of Attorney         □ Assignment         □ Form PTO-1594/1595         □ Small Entity Statement         □ Drawings: Sheets(s)	☐ Form PTO-1533 (Not. of Missing Parts) ☐ Form PTOL-858 (Issue Fee Trans.) & dup. ☐ Form PTO-1050 (Cert. of Correction) ☐ Maintenance Fee Transmittal Form ☐ Reexamination Request ☐ Notice of Appeal ☐ Affidavi/Dectaration ☐ Copy of Filing Receipt ☐ Req. for Corr of:
Formal   Informal   Informal   Informal   Information Disclosure Statement   Form PTO-1449 and Copies of   HO     References Contained Thereon   Information Disclosure Certification   Amendment/Response   Extension of Time Request: Months(s)   Petition   JCO3 Rec'd PO	Request for Non-Publication  Rescussion of Request for Non-Publication  Copy of Electronic Filing for FOR PTO USE  JUN 0 8 2005

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	) Group Art Unit:
Wayne A. Feick et al.	) Examiner:
Serial No.: 10/070,339	) TRANSMITTAL LETTER
Filed: February 27, 2002	) 162 N. Wolfe Road
For: METHOD AND APPARATUS FOR COMMUNICATING DATA	) Sunnyvale, CA 94086 ) (408) 530-9700
WITHIN MEASUREMENT TRAFFIC	) Customer No.: 28960

MS: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Sir:

Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

You will also find enclosed the associated Transmittals, Electronic Information Disclosure Statements, and United States Patent and Trademark Office Acknowledgment Receipts for the electronically filed Information Disclosure Statement (EFS ID #85149); and (EFS ID #85150) filed on May 27, 2005.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted, HAVERSTOCK & OWENS LLP

Dated: 6-6-05

Thomas B. Haverstock Reg. No.: 32,571

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

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te: 6/6/25 By:

Attorneys for Applicants



Attorney Docket No.: <u>AVARS-02600</u>

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wayne A. Feick et al.

Serial No.: 10/070,339

Filed: February 27, 2002

For: METHOD AND APPARATUS FOR

COMMUNICATING DATA WITHIN MEASUREMENT

TRAFFIC

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Group Art Unit:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

United States Patents or Published Patent Applications have been filed electronically (EFS ID #85149); and (EFS ID #85150). Applicants have become aware of the following printed publication which may be material to the examination of this application:

- European Publication No. EP 0 528 075 A1;
- European Publication No. EP 0 598 969 B1;
- European Publication No. EP 0 788 267 A2;
- European Publication No. EP 0 942 560 A2;
- European Publication No. EP 0 977 456 A2;
- European Publication No. EP 0 982 901 A1;
- European Publication No. EP 0 999 674 B1;
- PCT Publication No. WO 94/08415;
- PCT Publication No. WO 99/06913;

Attorney Docket No.: <u>AVARS-02600</u>

- PCT Publication No. WO 99/14907;
- PCT Publication No. WO 99/14931;
- PCT Publication No. WO 99/14932;
- PCT Publication No. WO 99/18751;
- PCT Publication No. WO 99/30460;
- PCT Publication No. WO 99/39481;
- PCT Publication No. WO 00/04458;
- PCT Publication No. WO 00/25224;
- PCT Publication No. WO 00/45560;
- PCT Publication No. WO 00/52906;
- PCT Publication No. WO 00/62489;
- PCT Publication No. WO 00/72528 A1;
- PCT Publication No. WO 00/79362 A2;
- PCT Publication No. WO 00/79730 A2;
- PCT Publication No. WO 01/06717 A1;
- PCT Publication No. WO 01/13585 A1:
- PCT Publication No. WO 02/033896 A3;
- Z. Wang et al., "Resource Allocation for Elastic Traffic: Architecture and Mechanisms," pages 158-169;
- J. Yu, "Scalable Routing Design Principles," The Internet Society, July 2000, pages 1-26;
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- R. Govindan et al., "An Analysis of Internet Inter-Domain Topology and Route Stability," USC Information Sciences Institute, 1997 IEEE, 8 pages;

PATENT Attorney Docket No.: <u>AVARS-02600</u>

- V. Paxson, "Toward a Framework for Defining Internet Performance Metrics," <a href="http://www.isoc.org/inet96/proceedings/d3/d3\_3.htm">http://www.isoc.org/inet96/proceedings/d3/d3\_3.htm</a>, pages 1-20;
- C. Alaettinoglu et al. "Routing Policy Specification Language (RPSL),"
   http://quimby.gnus.org/internet-drafts/draft-ietf-rps-rpsl-v2-00.txt, pages 1-56;
- P. Traina, "BGP-4 Protocol Analysis," March 1995, pages 1-10;
- B. Krishnamurthy et al., "On Network-Aware Clustering of Web Clients," 14
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- Sami Iren et al., "The Transport Layer: Tutorial and Survey", ACM Computing Surveys, Vol. 31, No. 4, December 1999, pages. 361-405; and
- D. B. Ingham et al., "Supporting Highly Manageable Web Services", Computer Networks and ISDN Systems 29 (1997), pages. 1405-1416.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 6-6-05

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING CONCRETE TO SEE

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

Date: 6/6/05 By.

# UNITED STATES PATENT AND TRADEMARK OFFICE ACKNOWLEDGEMENT RECEIPT

Electronic Version 1.1
Stylesheet Version v1.1.1

Title of Invention	METHOD AND APP	ARATUS F	OR COMMUNICATING DATA WITHIN MEASUREM	ENT TRAFFIC
Submission Type:	Information Dis	closure Sta	atement	·
Application Number:	10/070339			*10/070339*
EFS ID:	85149			,
Server Response:	Confirmation Code		Message	
			was successfully submitted - Even if Informational or Wa se do not resubmit this application	arning Messages appear
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	USPTOEFSNotice	For assistan Toll-Free N	oce with e-filing a patent application, contact the Patent I umber:1(866) 217-9197 Website: http://www.uspto.gov	lectronic Business Center: /ebc/
First Named Applicant:	Wayne Feick			
Attorney Docket Number	7			
Timestamp:	2005-05-27 12	2:50:06 ED	τ	
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5/27/2005

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Page 2 of 2

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us-ids	AVARS02600A-usidst.xml	8387

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Name: Office,ou=Department of Commerce,o=U.S. Government,c=US

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TRANSMITTAL Stylesheet Version v1.1.0 Electronic Version v1.1

METHOD AND APPARATUS FOR COMMUNICATING DATA WITHIN MEASUREMENT TRAFFIC Invention Title of

\*10/070339\*

10/070339 Application Number:

2002-02-27

Wayne A. Feick First Named Applicant:

Confirmation Number: 2415

Attorney Docket Number:

applicants or their representatives and the USPTO. Fraudulent or other use besides the filing I hereby certify that the use of this system is for OFFICIAL correspondence between patent of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

submitted to the United States Patent and Trademark Office, using either the USPTO provided prosecution of a patent application noted in the submission. This document(s) will become I, the undersigned, certify that I have viewed a display of document(s) being electronically style sheet or software, and that this is the document(s) i intend for initiation or further part of the official electronic record at the USPTO.

Submitted by:	Elec. Sign.	Sign. Capacity
Thomas B. Haverstock	/tbh/	
Registered Number: 32571		Attorney

Documents being submitted	Files
us-ids	AVARS02600A-usidst.xml
	us-ids.dtd
	us-ids.xsl
Comments	

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Nataralan

Eadline

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Picard

Bottomley et al.

Nguyen et al.

Arora et al.

Grady et al.

# **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v1 8 Stylesheet Version v1 8.0

> METHOD AND APPARATUS FOR COMMUNICATING DATA WITHIN Title of Invention MEASUREMENT TRAFFIC

Application Number: 10/070339 Confirmation Number 2415

First Named Applicant: Wayne Felck

Attorney Docket Number:

Search string:

( 4284852 or 4345116 or 4495570 or 4594704 or 4669113 or 4704724 or 4726017 or 4748658 or 4788721 or 4839798 or 4920432 or 4931941 or 4939725 or 4949187 or 4949248 or 5142570 or 5172413 or 5253341 or 5287537 or 5291554 or 5341477 or 5371532 or 5375070 or 5406502 or 5410343 or 541 4455 or 5442389 or 5442390 or 5442749 or 5452294 or 5471622 or 5475615 or 5477536 or 5508732 or 5515511 or 5519435 or 5521591 or 5528281 or 5535195 or 5563875 or 5629930 or 5631897 or 5636216 or 5668800 or 5675741 or 5754639 or 5793976 or 5802106 or 5805594 or 5835710 ).pn.

**US Patent Documents** 

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	4284852	1981-08-18	Szybicki et al.	ĺ		
	2	4345116	1982-08-17	Ash et al.	i		
	3	4495570	1985-01-22	Kitajima et al.	Ī		
	4	4594704	1986-06-10	Ollivier	i		
	5	4669113	1987-05-26	Ash et al.	าี		
	6	4704724	1987-11-03	Krishnan et al.	<b>i</b>		
	7	4726017	1988-02-16	Krum et al.	ĺ		
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Information Disclosure Statement

Page 3 of 3

\*10/070339\*

٦	40	5563875	1996-10-08	Hefel et al.
	41	5629930	1997-05-13	Beshal et al.
	42	5631897	1997-05-20	Pacheco et al.
	43	5636216	1,997-06-03	fox et al.
	44	5668800	1997-09-16	Stevenson
	45	\$675741	1997-10-07	Aggarwal et al.
	46	5754639	1998-05-19	Flockhart et al.
	47	5793976	1998-08-11	Chen et al.
	48	5802106	1998-09-01	Packer
	49	\$805594	1998-09-08	Kotchey et al.
	50	5835710	1998-11-10	Nagami et al.

Examiner Name	Date

# UNITED STATES PATENT AND TRADEMARK OFFICE ACKNOWLEDGEMENT RECEIPT

Electronic Version 1.1
Stylesheet Version v1.1.1

Title of Invention METHOD AND APPARATUS FOR COMMUNICATING DATA WITHIN MEASUREMENT TRAFFIC Submission Type: Information Disclosure Statement Application Number: 10/070339 \*10/070339\* EFS ID: 85150 Server Response: Confirmation Message Submission was successfully submitted - Even if Informational or Warning Messages appear ISVR1 below, please do not resubmit this application ICON1 For assistance with e-filing a patent application, contact the Patent Electronic Business Center: USPTOEFSNotice Toll-Free Number:1(866) 217-9197 Website: http://www.uspto.gov/ebc/ First Named Applicant: Wayne Feick Attorney Docket Number: Timestamp: 2005-05-27 12:53:51 EDT From: File Listing: Doc. Name File Name Size (Bytes)

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Page 2 of 2

	Total files size	74668
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Page 2 of 2

Electronic Version v1.1

TRANSMITTAL

Stylesheet Version v1.1.0

METHOD AND APPARATUS FOR COMMUNICATING DATA WITHIN MEASUREMENT TRAFFIC Invention Title of

\*10/070339\* 10/070339 Application Number:

2002-02-27

Wayne A. Feick First Named Applicant:

Confirmation Number: 2415

Attorney Docket Number:

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submitted to the United States Patent and Trademark Office, using either the USPTO provided prosecution of a patent application noted in the submission. This document(s) will become I, the undersigned, certify that I have viewed a display of document(s) being electronically style sheet or software, and that this is the document(s) I intend for initiation or further part of the official electronic record at the USPTO.

Submitted by:	Elec. Sign.	Sign. Capacity
Thomas B. Haverstock	/tbh/	
Registered Number: 32571		Attorney

Documents being submitted	Files
us-ids	AVARS02600B-usidst.xml
	us-ids.dtd
	us-ids.xsl
Comments	

\*10/070339\*

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v1 8
Stylesheet Version v1 8.0

Title of METHOD AND APPARATUS FOR COMMUNICATING DATA WITHIN MEASUREMENT TRAFFIC

Application Number: 10/070339

Confirmation Number: 2415

First Named Applicant: Wayne Felck

Attorney Docket Number:

Search string:

(5845091 or 5974457 or 6005264 or 6009081 or 602641) or 6059889 or 6108703 or 6111881 or 6119235 or 6130890 or 6167052 or 6173324 or 6185598 or 6275470 or 6282562 or 6286045 or 6339595 or 6341309 or 6415323 or 6434606 or 6438592 or 6452950 or 6463454 or 6526056 or 6601098 or 6403841 or 6611872 or 6625648 or 6631419 or 663640 or 6714549 or 6748426 or 6756381 or 20010037311 or 20020038331 or 20020124100 or 20030016770).pn.

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5845091	1998-12-01	Dunne et al.	7		
	2	5974457	1999-10-26	Waciawsky et al.	า์		
	3	6006264	1999-12-21	Colby et al.	าี		
	4	6009081	1999-12-28	Wheeler et al.	าี		
	S	6026411	2000-02-15	Ronen	<b>i</b> .		
	6	6059889	2000-05-30	Feldman et al.	7		
	7	6108703	2000-08-22	Leighton et al.	1		
	8	6111881	2000-08-29	Soncodi	ĺ		
	9	6119235	2000-09-12	Vald et al.	าี		
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Information Disclosure Statement

Page 3 of 3

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10	6130890	2000-10-10	Leinwand et al.	j	
11	6167052	2000-12-26	McNeill et al.	7	
12	6173324	2001-01-09	D'Souza	BI	
13	6185598	2001-02-06	Farber et al.	B1	
14	6275470	2001-08-14	Ricciulli	B1	
15	6282562	2001-08-28	Sidi et al.	В1	
16	6286045	2001-09-04	Griffiths et al.	81	
17	6339595	2002-01-15	Rekhter et al.	Bì	
18	6341309	2002-01-22	Vald et al.	Bì	
19	6415323	2002-07-02	McCanne et al.	Bl	
20	6434606	2002-08-13	Borella et al.	Bī	
21	6438592	2002-08-20	Killian	B1	
22	6452950	2002-09-17	Ohlsson et al.	81	
23	6463454	2002-10-08	Lumelsky et al.	B1	
24	6226026	2003-02-25	Rekhter et al.	81	
25	6601098	2003-07-29	Case et al.	B1	
26	6608841	2003-08-19	Kood!i	BI	
27	6611872	2003-08-26	McCanne	Bl	
28	6625648	2003-09-23	Schwaller et al.	B1	
29	6631419	2003-10-07	Green	B1	
30	6633640	2003-10-14	Cohen et al.	B1	
31	6714549	2004-03-30	Phaltankar	B1	
32	6748426	2004-06-08	Shaffer et al.	В1	
33	6766381	2004-07-20	Barker et al.	B1	

#### **US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications

Init	1	Pub. No.	Date	Applicant	Klnd	Class	Subclass
		20010037311	2001-11-01	McCoy et al.	Aì		
	2	20020038331	2002-03-28	Flavin	Al		
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